

Receipt

Bayer



Bayer Corporation
100 Bayer Road
Pittsburgh, PA 15205-9741
Phone: 412 777-2000

Writer's Direct Dial:
(412) 777-8353

September 19, 2001

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Re: Mo-5176/LEA-33,020
U.S. Serial No. 09/303,232
Filing Date: April 30, 1999

Dear Sir:

With reference to the above noted application, we wish to bring to your attention the following correction on the filing receipt. Please make the following correction:

Under Foreign Applications, please add
Germany 19819829.9 May 04, 1998

Thank you for your attention to this matter. We are attaching a copy of the filing receipt and a copy of the Combined Declaration and Power of Attorney with noted correction. Please send us a corrected filing receipt for our file.

Sincerely yours,

Sue Riley
Patent Department

Enclosure
mc0012

RECEIVED Page 1 of 4

OCT 19 2001

TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/303,232	04/30/1999	1633	1070	MO-5176/LEA3 30201		30	1

CONFIRMATION NO. 8055

CORRECTED FILING RECEIPT



OC00000006166713

BAYER CORPORATION
PATENT DEPARTMENT
100 BAYER ROAD
PITTSBURGH, PA 15205

Date Mailed: 06/11/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

MARTIN ADAMCZEWSKI, KOLN, GERMANY;
NADJA OELLERS, KOLN, GERMANY;
THOMAS SCHULTE, KOLN, GERMANY;

Domestic Priority data as claimed by applicant

Foreign Applications

Germany 19819829.9 May 04, 1998

If Required, Foreign Filing License Granted 07/29/1999

CPA filed on: 06/04/2001

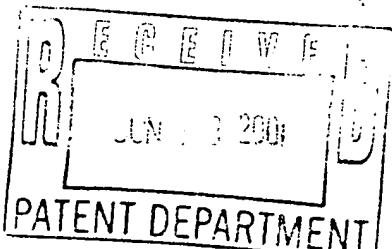
Projected Publication Date: 09/20/2001

Non-Publication Request: No

Early Publication Request: No

Title

NUCLEIC ACIDS WHICH ENCODE INSECT ACETYLCHOLINE RECEPTOR SUBUNITS



Preliminary Class

800

Data entry by : ZIMMERMAN, PATSY

Team : 1600

Date: 06/11/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED

OCT 19 2001

TECH CENTER 1600/2900

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

~~FILE COPY~~

H26



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 8055

SERIAL NUMBER 09/303,232	FILING DATE 04/30/1999 RULE	CLASS 800	GROUP ART UNIT 1632	ATTORNEY DOCKET NO. MO-5176/LEA3
-----------------------------	-----------------------------------	--------------	------------------------	-------------------------------------

APPLICANTS

MARTIN ADAMCZEWSKI, KOLN, GERMANY;
 NADJA OELLERS, KOLN, GERMANY;
 THOMAS SCHULTE, KOLN, GERMANY;

RECEIVED

OCT 1 9 2001

** CONTINUING DATA *****

** FOREIGN APPLICATIONS *****
 GERMANY 19819829.9 05/04/1998 *RS*

TELE CENTER 1600/2900

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 07/29/1999

Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verifier and Acknowledged Examiner's Signature <i>RS</i> Initials	STATE OR COUNTRY GERMANY	SHEETS DRAWING 1	TOTAL CLAIMS 30	INDEPENDENT CLAIMS 1
--	-----------------------------	---------------------	--------------------	-------------------------

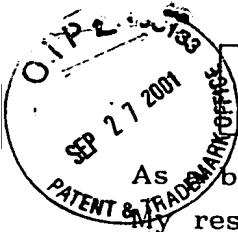
ADDRESS

BAYER CORPORATION
 PATENT DEPARTMENT
 100 BAYER ROAD
 PITTSBURGH ,PA 15205

TITLE

NUCLEIC ACIDS WHICH ENCODE INSECT ACETYLCHOLINE RECEPTOR SUBUNITS

FILING FEE RECEIVED 1070	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------	---	---

**COMBINED DECLARATION AND POWER OF ATTORNEY**

ATTORNEY DOCKET NO

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Nucleic acids which encode insect acetylcholine receptor subunits

the specification of which is attached hereto,

or was filed on April 30, 1999 as

Application Serial No. 09/303,232

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s), the priority(ies) of which is/are to be claimed:

19819829.9
(Number)

Germany
(Country)

May 04, 1998
(Month/Day/Year Filed)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose the material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

• **OF ATTORNEY:** As a named attorney, I hereby appoint the following attorney and/or agent(s) to prosecute application and to transact all business before the Patent and Trademark Office connected therewith:

JOSEPH C. GIL, Patent Office Registration Number 26,602
ARON PREIS, Patent Office Registration Number 29,426
LYNDANNE M. WHALEN, Patent Office Registration Number 29,457
THOMAS W. ROY, Patent Office Registration Number 29,582
RICHARD E. L. HENDERSON, Patent Office Registration Number 31,619
GODFRIED R. AKORLI, Patent Office Registration Number 28,779
N. DENISE BROWN, Patent Office Registration Number 36,097
NOLAND J. CHEUNG, Patent Office Registration Number 39,138
CAROL MARMO, Patent Office Registration Number 39,761
DIDERICO VAN EYL, Patent Office Registration Number 38,641

RECEIVED

OCT 19 2001



CENTER 1600/2900

all of Bayer Corporation, Pittsburgh, Pennsylvania 15205-9741

Send Correspondence To: Patent Department Bayer Corporation 100 Bayer Road Pittsburgh, Pennsylvania 15205-9741	Direct Telephone Calls To: (412) 777-2349
--	--

FULL NAME OF SOLE OR FIRST INVENTOR Martin ADAMCZEWSKI	INVENTOR'S SIGNATURE <i>Martin Adamczewski</i>	DATE Oct 4, 1990
RESIDENCE 51067 Köln, Germany	CITIZENSHIP German	
POST OFFICE ADDRESS Andersenstr. 22, 51067 Köln, Germany		
FULL NAME OF SECOND INVENTOR Nadja OELLERS	INVENTOR'S SIGNATURE <i>Nadja Oellers</i>	DATE Oct 4, 1990
RESIDENCE 50670 Köln, Germany	CITIZENSHIP German	
POST OFFICE ADDRESS Steinfeldergasse 9, 50670 Köln, Germany		
FULL NAME OF THIRD INVENTOR Thomas SCHULTE	INVENTOR'S SIGNATURE <i>Thomas Schulte</i>	DATE Oct 4, 1990
RESIDENCE 51061 Köln, Germany	CITIZENSHIP German	
POST OFFICE ADDRESS An der Ruthen 6, 51061 Köln, Germany		